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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Chicago Stock Exchange, Inc.**
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Trust**
3. Class of New Derivative Securities Product:  
**Ownership of the Trust**
4. Name of Underlying Instrument:  
**Principal Active Global Dividend Income ETF**
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**
6. Ticker Symbol(s) of New Derivative Securities Product:  
**GDVD**
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Various**
8. Settlement Methodology of New Derivative Securities Product:  
**See Prospectus**
9. Position Limits of New Derivative Securities Product (if applicable):  
**See Prospectus**

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Michael J. Cardin**

Title:

**Director  
Member Regulation Department**

Telephone Number:

**312-663-2204**

Manual Signature of Official Responsible for Form:

*Michael J. Cardin*

Date:

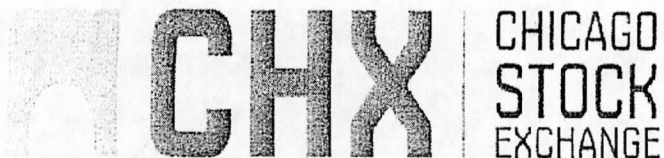
**May 10, 2017**

Act Securities Exchange Act of 1934

Section 19b-4  
Rule 19b-4(e)

Public

Availability: MAY 15 2017



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**By UPS**

Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

GDVD	Principal Active Global Dividend Income ETF
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If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 15 2017